

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Traub, et al.  
Patent No. : 6,058,377 Serial No. 09/020,733  
Issued: : May 2, 2000 Filed: Feb. 9, 1998  
For : Portfolio Structuring Using Low Discrepancy Deterministic Sequences

**PETITION UNDER 37 C.F.R. § 1.28(c)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Patentee hereby petitions for the acceptance of a deficiency payment pursuant to 37 C.F.R. § 1.28(c) in the amount of \$3865.00.

Applicants have discovered that the above identified patent application was filed with an erroneous claim of small entity status and that they have erroneously paid, without deceptive intent, the following fees at the applicable small entity rates:

Type of Fee Erroneously Paid as small entity	Small Entity Fee Actually Paid	Date of Payment	Current Fee (large entity)	Deficiency Amount Owed
Filing Fee	\$395.00	2/9/98	\$1090.00	\$695.00
Missing Parts	\$65.00	6/12/98	\$130.00	\$65.00
Extension of Time	\$55.00	6/12/98	\$130.00	\$75.00
Issue Fee	\$605.00	8/25/99	\$1810.00	\$1205.00
Year 4 Maintenance Fee	\$455.00	4/5/04	\$980.00	\$525.00
Year 8 Maintenance Fee	\$1180.00	4/25/08	\$2480.00	\$1300.00
Total Deficiency Owed				\$3865.00

It is believed that the deficiencies in payment were inadvertent, based upon an unintentional failure to change entity status for Patentee. Accordingly, Patentee wishes to pay